



Financial Assistance Award

DENALI COMMISSION
 510 "L" Street, Suite 410
 Anchorage, Alaska 99501
 (907) 271-1414 (phone)
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 www.denali.gov

Project Number	0094-DC-2003-19 – Amendment #3
Project Title	Multi-Use Facilities
Performance Period	June 15, 2003 – December 30, 2006
Authorizing Resolution(s)	03-13, 04-04

Recipient Organization & Address
 Alaska Department of Community & Economic Development
 P.O. Box 110800
 Juneau, AK 99811-0801

Authority 112 Stat 1854	CFDA Number 90.100
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Denali Commission Finance Officer Certification *CEE*

Recipient DUNS # 80-938-7467 **TIN#** 92-6001185

Cost Share Distribution Table

	Denali Commission	Other Contributors	Total
Current Funding (this Amendment)	\$415,140.00	\$1,093,000.00	\$1,508,140.00
Funds Authorized to carry forward from previous Awards	\$7,983,627.00	\$18,111,930.00	\$26,095,557.00
Total Funding	\$8,398,767.00	\$19,204,930.00	\$27,603,697.00

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- Special Award Conditions and Attachments
- Line Item Budget
- OMB Circular A-133, Audits of States, Local Governments and Indian Tribal Governments
<http://www.whitehouse.gov/omb/circulars/a133/a133.html>

Administrative Requirements (check one)

- OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments
<http://www.whitehouse.gov/omb/circulars/a102/a102.html>
- OMB Circular A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations
<http://www.whitehouse.gov/omb/circulars/a110/a110.html>

Cost Principles (check one)

- OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments
www.whitehouse.gov/omb/circulars/a087/a087-all.html
- OMB Circular A-122, Cost Principles for Nonprofit Organizations
www.whitehouse.gov/omb/circulars/a122/a122.html
- OMB Circular A-21, Cost Principles for Educational Institutions
<http://www.whitehouse.gov/omb/circulars/a021/a021.html>
- 48 CFR 31.2, Contracts with Commercial Organizations

Signature of Authorized Official - Denali Commission <i>Jeff Staser</i>	Typed Name and Title Jeffrey B. Staser, Federal Co-Chair	Date <i>9-1-2004</i>
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Signature of Authorized Official - Recipient Organization <i>Diane Hunt</i>	Typed Name and Title Diane Hunt, Administrative Manager Division of Community Advocacy Alaska Department of Community & Economic Development	Date <i>9-2-2004</i>
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**Award Conditions to the Financial Assistance Award
Between the Denali Commission and the DCED
For Multi Use Facility Projects
Project No. 0094-DC-2003-19 – Amendment #3
August 2004**

1. Scope of Work

The intent of this award is to provide financial awards to communities through the State of Alaska Department of Community & Economic Development (DCED) for the purpose of construction of multi-use facilities as described in the Multi-Use Facility RFP (January 1, 2004) and as jointly agreed to between DCED and Denali Commission staff. DCED is responsible for managing all aspects of multi-use facility projects funded by the Denali Commission, but is not required to provide any matching funds to receive this financial award.

Federal share of Multi-Use Facility costs: The total federal funding provided through Amendment #3 is \$415,140.00 which brings the total amount of the Financial Assistance Award to \$ 8,398,767.00. Amendment #3 adds money for one additional project. See Attachment A for funding breakdown by project of Amendment #1 and Amendment #2 and Section 12 for funding breakdown by project. DCED is not required to provide any match to receive this financial award.

Community share of Multi-Use Facility costs:

Total Project Funding

This financial assistance award to DCED is contingent upon specific project match requirements for recipient communities (see below).

1. Recipient communities must demonstrate that its required match is expended or dedicated to the project prior to any reimbursement by DCED.
2. Recipient communities must also demonstrate that funding to complete project is secured prior to any reimbursement by DCED for its expenses.

New Multi-Use Facility Funding

Economically distressed communities must provide a minimum of a 10% local match and non-distressed communities must provide a minimum of 25% local match. The distressed community listing is provided on the web page www.denali.gov. Communities who successfully appeal their distressed status can be confirmed by the Program Manager listed on this award. The match may include land donated or the calculated cash value of the lease of the land for the facility but may not include equipment and furnishings, in-kind services, labor or material.

Renovation of Multi-Use Facility Funding

For renovation of Multi-Use Facilities the 10% local match of a distressed community must be in cash and at least 10% of the 25% local match from a non-distressed community must be in cash. An existing facility and land on which it sits cannot be part of the cost share match for renovation projects unless renovation requires additional land parcels.

All Commission funding is intended for use for the scope of work identified in the Award document only. In the event there is a balance of funding after the program is completed, the Commission has determined the excess funds will be allocated to its Energy Program (Denali Commission Resolution 04-04, January 23, 2004).

2. Milestones

Milestones to be completed as part of these projects include award pending, planning and design (as applicable), construction and project close-out. As part of each progress report, recipients shall update the progress toward meeting these milestones (see section 7, Reporting).

	Planned		Actual	
	Start	End	Start	End
Award Pending				
Planning/Design (as applicable)				
Construction				
Project Close-Out				

3. Award Performance Period

The Award performance period is June 15, 2003 through December 30, 2006. This is the period during which Award recipients can incur obligations or costs against this Award.

4. Direct and Indirect Costs

The cost principles of OMB Circular A-87 are applicable to this Award. Overhead costs up to 2% are allowable under this Award. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

5. Budget and Program Revisions

The Administrative OMB Circular A-102 applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that the DCED will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

6. Payments

Payments under this Award will be made through the U.S. Department of Treasury’s Automated Standard Application for Payment (ASAP) system. The ASAP system is the Commission’s mechanism for requesting and delivering Federal funds to Award recipients. Your organization must be registered with the ASAP program in order to make draw downs. Please contact the Commission’s Program Manager or Finance Manager for further information about registering with the ASAP program. Payments shall be made in accordance with Administrative Circular 15 CFR 24. **No interest will be accrued on these funds.**

7. Reporting

Three forms of project reporting are required under this Award, listed below. The DCED shall submit reports using the Denali Commission's on-line Project Database System, available at www.denali.gov. If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on an annual basis. The first reporting period is January 1, 2004 through December 31, 2004, and each year thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:
 - i. Total project funding, including both Denali Commission funding and other project funding sources.
 - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and Other funding sources.
 - iii. Update schedule and milestone information as identified in the Scope of Work.
 - iv. Narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems?
 - v. Photographic documentation of project progress shall be provided with the progress reports for active construction projects. The photo documentation shall include a minimum of three, dated photos per reporting period such that a complete record of the construction is maintained over time, from "before," showing the situation before the start of construction, to "during" showing work proceeding on the project, and "after" to show the finished project. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.

To compile these photographs, DCED will strongly encourage the sub-recipient grantees (communities) to take pictures of the individual projects in the various stages of construction, as specified in the preceding paragraph, and to identify those photographs for immediate submission to DCED. It is the sole responsibility of the sub-recipient grantees to take and produce the photographs. DCED merely serves as a compiler of the photographs and will not be held liable if any of the sub-recipient grantees fail to comply.

- b. **Wage and Residency Reports** shall be submitted on an annual basis using the Denali Commission On-line Project Database. The reporting period is January 1st – December 31st of each year. Reports are due within 30 days of the end of the reporting period. The Wage and Residency Report shall include the following information for each construction project
 - i. Total number of Local Residents employed during the year, and their total payroll earnings.

- ii. Total number of Non-Local Alaska Residents employed during the year, and their total payroll earnings.
- ii. Total number of Non-Alaska Residents employed during the year, and their total payroll earnings.

To compile the Wage and Residency Reports, DCED will strongly encourage the sub-recipient grantees (communities) to prepare and submit their reports to DCED according to the schedule set by the Denali Commission. It is the sole responsibility of the sub-recipient grantees to comply with this reporting requirement. DCED merely serves as a compiler of such reports and will not be held liable if any of the sub-recipient grantees fail to comply.

- c. **Federal Single Audits** shall be submitted annually, when required. In accordance with OMB Circular A-133, which requires [subpart 200] “Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. [subpart 205] The determination of when an award is expended should be based on when the activity related to the award occurs.”

Recipients shall also submit single audits to the Federal Clearinghouse designated by OMB. Information can be found on the following web-site:
<http://harvester.census.gov/sac/>

Sub-recipients of this award are subject to the same federal single audit requirements as stated above.

8. Project Close-Out

The project close-out shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The Project Close-out report shall be submitted on-line through the Denali Commission’s on-line Project Database System, available at www.denali.gov. The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 6(a) “Progress Reports”
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: For all construction projects, the Award recipient shall display a sign that acknowledges the Government’s support for the project(s) developed under this Award. The Commission will provide an appropriate sign. The Award recipient must request an indoor and/or an outdoor sign from the Commission office. Pickup or delivery of the sign can be arranged at that time.

To comply with this requirement, DCED will strongly encourage the sub-recipient grantees (communities) to request the sign. It is the sole responsibility of the sub-recipient grantees to request and erect the sign. DCED merely serves as the agent conveying the requirement to have a sign and will not be held liable if any of the sub-recipient grantees fail to comply.

9. *Public Policy Laws and Assurances*

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

10. *Non-Compliance with Award Conditions*

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Denali Commission. The Denali Commission will work with the recipient to identify the steps necessary to bring them back into compliance, and will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

11. *Program Manager, Financial Manager & Other Contact Information*

Denali Commission	Alaska Department of Community & Economic Development
Rachael Petro 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1425 Fax: 907-271-1415 E-mail: rpetro@denali.gov	Jo Grove 211 Cushman Street Fairbanks, AK 99701 Phone 907-451-2716 Fax: 907-451-2742 Email: Jo_Grove@dced.state.ak.us

12. Project Breakdown

Total Federal Fiscal Year 2003 and 2004 (See Attachment A & B for more specifics)

Project	Project Request/ Commission Award for Project	Total DCED Overhead Allowance (2%)	Total Denali Commission Funding	Other Project Contributors	Total Project Cost
City of St. George	\$ 864,646.00	\$ 17,300.00	\$ 881,946.00	\$ 506,632.00	\$ 1,388,578.00
Kachemak Emergency Service Area	\$ 993,661.00	\$ 19,860.00	\$1,013,521.00	\$ 358,949.00	\$ 1,372,470.00
Quetkcaq	RESCINDED	\$ 1,836.00	\$ 1,836.00	\$ -	\$ 1,836.00
Kwinhagak	\$ 553,515.00	\$ 11,070.00	\$ 564,585.00	\$ 675,000.00	\$ 1,239,585.00
Louden	\$ 1,000,000.00	\$ 20,000.00	\$1,020,000.00	\$ 3,670,000.00	\$ 4,690,000.00
Marshall	\$ 500,000.00	\$ 10,000.00	\$ 510,000.00	\$ 2,031,000.00	\$ 2,541,000.00
Port Graham	\$ 765,000.00	\$ 15,300.00	\$ 780,300.00	\$ 85,000.00	\$ 865,300.00
Tanacross	\$ 349,817.00	\$ 6,996.00	\$ 356,813.00	\$ 1,340,531.00	\$ 1,697,344.00
Togiak	\$ 835,000.00	\$ 16,700.00	\$ 851,700.00	\$ 479,145.00	\$ 1,330,845.00
Tuluksak	\$ 898,055.00	\$ 17,961.00	\$ 916,016.00	\$ 100,000.00	\$ 1,016,016.00
Wrangell	\$ 675,000.00	\$ 13,500.00	\$ 688,500.00	\$ 8,163,244.00	\$ 8,851,744.00
McGrath	\$ 50,375.00	\$ 1,008.00	\$ 51,383.00	\$ 16,791.00	\$ 68,174.00
Sleetmute	\$ 340,222.00	\$ 6,805.00	\$ 347,027.00	\$ 685,638.00	\$ 1,032,665.00
Delta Junction	\$ 407,000.00	\$ 8,140.00	\$ 415,140.00	\$ 1,093,000.00	\$ 1,508,140.00
Total	\$ 8,232,291.00	\$ 166,476.00	\$8,398,767.00	\$19,204,930.00	\$ 27,603,697.00

**Attachment A
Denali Commission
0094-DC-2003-19**

Federal Fiscal Year 2003 Projects					
Project	Project Request/ Commission Award for Project	Total DCED Personnel Service Allowance (up to 2%)	Denali Commission Funding	Other Contributors	Total Project Cost
City of St. George	\$ 864,646.00	\$ 17,300.00	\$ 881,946.00	\$ 506,632.00	\$ 1,388,578.00
Kachemak Emergency Service Area	\$ 993,661.00	\$ 19,860.00	\$ 1,013,521.00	\$ 358,949.00	\$ 1,372,470.00
Kwinhagak	\$ 553,515.00	\$ 11,070.00	\$ 564,585.00	\$ 675,000.00	\$ 1,239,585.00
Louden	\$ 1,000,000.00	\$ 20,000.00	\$ 1,020,000.00	\$ 3,670,000.00	\$ 4,690,000.00
Marshall	\$ 500,000.00	\$ 10,000.00	\$ 510,000.00	\$ 2,031,000.00	\$ 2,541,000.00
Port Graham	\$ 765,000.00	\$ 15,300.00	\$ 780,300.00	\$ 85,000.00	\$ 865,300.00
Quetkcak	\$ 91,815.00	\$ 1,836.00	\$ 93,651.00	\$ 378,709.00	\$ 472,360.00
Tanacross	\$ 349,817.00	\$ 6,996.00	\$ 356,813.00	\$ 1,340,531.00	\$ 1,697,344.00
Togiak	\$ 835,000.00	\$ 16,700.00	\$ 851,700.00	\$ 479,145.00	\$ 1,330,845.00
Tuluksak	\$ 898,055.00	\$ 17,961.00	\$ 916,016.00	\$ 100,000.00	\$ 1,016,016.00
Wrangell	\$ 675,000.00	\$ 13,500.00	\$ 688,500.00	\$ 8,163,244.00	\$ 8,851,744.00
Total	\$ 7,526,509.00	\$ 150,523.00	\$ 7,677,032.00	\$ 17,788,210.00	\$ 25,465,242.00
Rescinded Federal Fiscal Year 2003 Projects					
Quetkcak	\$ (91,815.00)	No Change *	\$ (91,815.00)	\$ (378,709.00)	\$ (470,524.00)
Revised Total Federal Fiscal Year 2003 Projects					
	\$ 7,434,694.00	\$ 150,523.00	\$ 7,585,217.00	\$ 17,409,501.00	\$ 24,994,718.00

*Note: DCED retains the 2% overhead associated with Rescinded Projects for work already performed

Federal Fiscal Year 2004 New Projects					
Project	Project Request/ Commission Award for Project	Total DCED Overhead Allowance (2%)	Total Denali Commission Funding	Other Project Contributors	Total Project Cost
McGrath	\$ 50,375.00	\$ 1,008.00	\$ 51,383.00	\$ 16,791.00	\$ 68,174.00
Sleetmute	\$ 340,222.00	\$ 6,805.00	\$ 347,027.00	\$ 685,638.00	\$ 1,032,665.00
Delta Junction	\$ 407,000.00	\$ 8,140.00	\$ 415,140.00	\$ 1,093,000.00	\$ 1,508,140.00
Total	\$ 797,597.00	\$ 15,953.00	\$ 813,550.00	\$ 1,795,429.00	\$ 2,608,979.00

Total Federal Fiscal Year 2003 and 2004					
Project	Project Request/ Commission Award for Project	Total DCED Overhead Allowance (2%)	Total Denali Commission Funding	Other Project Contributors	Total Project Cost
City of St. George	\$ 864,646.00	\$ 17,300.00	\$ 881,946.00	\$ 506,632.00	\$ 1,388,578.00
Kachemak Emergency Service Area	\$ 993,661.00	\$ 19,860.00	\$ 1,013,521.00	\$ 358,949.00	\$ 1,372,470.00
Quetkcak	RESCINDED	\$ 1,836.00	\$ 1,836.00	\$ -	\$ 1,836.00
Kwinhagak	\$ 553,515.00	\$ 11,070.00	\$ 564,585.00	\$ 675,000.00	\$ 1,239,585.00
Louden	\$ 1,000,000.00	\$ 20,000.00	\$ 1,020,000.00	\$ 3,670,000.00	\$ 4,690,000.00
Marshall	\$ 500,000.00	\$ 10,000.00	\$ 510,000.00	\$ 2,031,000.00	\$ 2,541,000.00
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Total	\$ 8,232,291.00	\$ 166,476.00	\$ 8,398,767.00	\$ 19,204,930.00	\$ 27,603,697.00