



Financial Assistance Award

DENALI COMMISSION
 510 "L" Street, Suite 410
 Anchorage, Alaska 99501
 (907) 271-1414 (phone)
 (907) 271-1415 (fax)
 www.denali.gov

Project Number	195-05
Project Title	Rural Development Performance Measures
Performance Period	September 1, 2005 to July 31, 2007
Authorizing Resolution	04-14

Authority
112 Stat 1854

CFDA Number
90.100

Recipient Organization & Address
 First Alaskans Institute
 606 E Street, Suite 200
 Anchorage, AK 99501
 Phone: (907) 677-1700
 Fax: (907) 677-1780

Denali Commission Finance Officer Certification

JLP

Recipient DUNS #10-2342889 TIN # 92-0174854

Cost Share Distribution Table

Accounting Code	Denali Commission	Other Contributors	Total
Previous Years - HUD	\$250,000		\$250,000
First Alaskans Inst.		\$58,595	\$58,595
Total	\$250,000	\$58,595	\$308,595

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

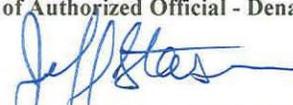
- Special Award Conditions and Attachments
- Line Item Budget
- OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations
<http://www.whitehouse.gov/omb/circulars/a133/a133.html>

Administrative Requirements (check one)

- OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments
<http://www.whitehouse.gov/omb/circulars/a102/a102.html>
- OMB Circular A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations
<http://www.whitehouse.gov/omb/circulars/a110/a110.html>

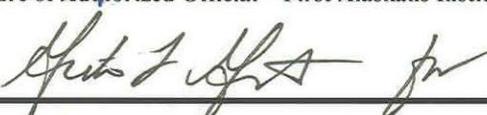
Cost Principles (check one)

- OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments
www.whitehouse.gov/omb/circulars/a087/a087-all.html
- OMB Circular A-122, Cost Principles for Nonprofit Organizations
www.whitehouse.gov/omb/circulars/a122/a122.html
- OMB Circular A-21, Cost Principles for Educational Institutions
<http://www.whitehouse.gov/omb/circulars/a021/a021.html>
- 48 CFR 31.2, Contracts with Commercial Organizations

Signature of Authorized Official - Denali Commission


Typed Name and Title
 Jeffrey B. Staser, Federal Co-Chair

Date
 8/27/05

Signature of Authorized Official - First Alaskans Institute


Typed Name and Title
 Byron I. Mallott, President and CEO

Date
 8-31-05

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

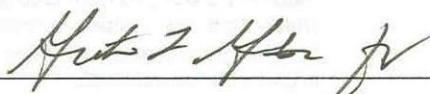
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
Byron I. Mallott 		President and CEO	
APPLICANT ORGANIZATION		DATE SUBMITTED	
First Alaskans Institute		8-31-05	

*Award Conditions to the Financial Assistance Award
Between the Denali Commission and First Alaskans Institute
For Rural Development Performance Measures
Award No. 195-05
August 2005*

1. Scope of Work

The purpose of this award is to design and test a system of rural development performance measures linking economic growth to poverty reduction. The performance measures will be used to evaluate the effectiveness of economic development programs in reducing poverty in rural Alaska communities.

The award recipient shall develop a work plan and budget and obtain Denali Commission agreement on them prior to start of work on this project.

The award recipient shall consider two documents recently produced for the Commission, as well as additional reports that the award recipient may use in the development of performance measures:

1. Economic Development Performance indicators: 3 Briefing Papers, by the Institute of Social and Economic Research, July 13, 2004.
2. Performance Measures letter report, and Annotated Bibliography of Selected References on Economic and Social Indicators, by Northern Economics Inc., June 23, 2004.

An objective of this rural development performance measures effort is to identify and use existing streams of data that are produced by others on a regular and reliable basis. The primary purpose of the project is to link economic development program performance to poverty reduction. Appropriate indicators will be identified or developed to make the desired link between economic development programs and poverty reduction.

The rural development indicators will be tested in one region for one year, and evaluated by the University of Alaska.

The award recipient shall produce a report fully documenting its rural development performance measures system, including the design and evaluation phases, and incorporating a set of recommended implementation procedures.

See #12 below for the project work plan (including budget).

All Commission funding is intended for use for the scope of work identified in the Award document only. Any balance of funds remaining after the full scope of work has been completed will be returned to the Denali Commission.

2. *Milestones*

The following milestones are identified as the major steps to be completed as part of the project. "Planned" dates for the first milestone are included here. As part of each progress report, recipients shall update the progress toward meeting these milestones (see section 7, Reporting).

Milestone	Planned		Actual		Units	Total Cost At Completion
	Start Date	End Date	Start Date	End Date		
In-Progress	09/01/2005	07/31/2007			0	\$0.00
Project Close-out	0731/2007	10/30/2007			0	\$0.00

3. *Award Performance Period*

The Award performance period is September 1, 2005 through July 31, 2007. This is the period during which Award recipients can incur obligations or costs against this Award.

4. *Direct and Indirect Costs*

The cost principles of OMB Circular A-122 (Cost Principles for Nonprofit Organizations) are applicable to this Award. Indirect costs up to 5 % are allowable under this Award. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

5. *Budget and Program Revisions*

The Administrative requirements of OMB Circular A-110 (Uniform Administrative Requirements for Grants and agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations) apply to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that First Alaskans Institute will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

6. *Payments*

Payments under this Award will be made through the U.S. Department of Treasury's Automated Standard Application for Payment (ASAP) system. The ASAP system is the Commission's mechanism for requesting and delivering Federal funds to Award recipients. First Alaskans Institute must be registered with the ASAP program in order to make draw downs. Please contact the Commission's Finance Manager at (907)-271-1414 for further information about registering with the ASAP program. Payments shall be

made in accordance with OMB Circular A-110. **No interest will be accrued on these funds.**

7. Reporting

Two forms of project reporting are required under this Award, listed below. First Alaskans Institute shall submit reports using the Denali Commission's on-line Project Database System, available at www.denali.gov. If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on a quarterly basis. The first reporting period is July 1, 2005 to September 30, 2005, and quarterly thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:
 - i. Total project funding, including both Denali Commission funding and other project funding sources.
 - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and Other funding sources.
 - iii. Update schedule and milestone information as identified in the Scope of Work
 - iv. Narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
 - v. For minor repair and renovation projects or other non-construction projects, pictures should be provided of before and after, or photos that are representative of the funded activity, to the extent possible. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.
- b. **Federal Single Audits** shall be submitted annually, when required. In accordance with OMB Circular A-133, which requires [subpart 200] "Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part [subpart 205]. The determination of when an award is expended should be based on when the activity related to the award occurs."

Recipients shall also submit single audits to the Federal Clearinghouse designated by OMB. Information can be found on the following web-site:

<http://harvester.census.gov/sac/>

8. Award Close-Out

The award close-out shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is

earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The Award Close-out report shall be submitted on-line through the Denali Commission's on-line Project Database System, available at www.denali.gov. The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 7(a) "Progress Reports"
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: For all non-construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. The format for acknowledgement of the Government's support for non-construction awards will vary with each award and must be agreed upon between the Award recipient and the Denali Commission Project Manager. Costs associated with this requirement shall be paid out of the project funding received by the Award recipient from the Denali Commission.

9. Public Policy Laws and Assurances

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

10. Non-Compliance with Award Conditions

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Denali Commission. The Denali Commission will work with the recipient to identify the steps necessary to bring them back into compliance, and will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

11. Program Manager, Financial Manager & Other Contact Information

Denali Commission	First Alaskans Institute
Paul McIntosh Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1640 Fax: 907-271-1415 E-mail: pmcintosh@denali.gov	Greta Goto Project Manager 606 E Street, Suite 200 Anchorage, AK 99501 (907) 677-1700 (phone) (907) 677-1780 (fax) E-mail: greta@firstalaskans.org
Joey Ausel Grants Manager & Analyst 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3415 Fax: 907-271-1415 E-mail: jausel@denali.gov	Gail Pass Financial Contact 606 E Street, Suite 200 Anchorage, AK 99501 (907) 677-1700 (phone) (907) 677-1780 (fax) Email: gail@firstalaskans.org

12. Project Work Plan

See Attachment

*Rural Development Performance Measures Project
Work Plan – Overview*

*Submitted to the Denali Commission
on August 18, 2005*

606 E Street, Suite 200
Anchorage, Alaska 99501
Tel: (907) 677-1700
Fax: (907) 677-1780
www.firstalaskans.org



Rural Development Performance Measures Project Work Plan - Overview

Over a 23-month period, the *Rural Development Performance Measures Project* linking economic growth to poverty reduction will be designed and tested. The purpose of this project is to be able to measure the effectiveness of economic development programs in reducing poverty in rural Alaska communities.

An objective of this rural development performance measures effort is to identify and use existing streams of data that are produced by others on a regular and reliable basis. This project may include economic, educational, social and cultural indicators as measures linking economic growth to poverty reduction.

The rural development indicators will be tested in a region for one year, and evaluated by the University of Alaska. A key deliverable of this project will be a report fully documenting the process of developing the rural development performance measures, including the design and evaluation phases, and incorporating a set of recommended implementation procedures.

The rural economic development initiative to be evaluated by the rural development performance measures will be selected by a project advisory team after a review of economic development programs that are currently being deployed, and may include a project of the Alaska Federation of Natives' economic initiative.

Project Phases:

Phase I – September 2005 through July 2006, Refine work plan, including:

- Recruit and coordinate an advisory project work team
- Determine and establish evaluation process with University of Alaska
- Identify existing and current resources available to establish a baseline of data collected about poverty and economic status and other indicators in Rural Alaska
- Complete a scoping search of existing data to include how often data are collected and verified, and the reliability and validity of existing data sources
- Identify economic development programs currently deployed in Alaska
- Identify data needed to supplement available baseline data. Determine criteria for measures to be developed and what they measure
- Determine need for additional data needs (primary and/or secondary data collection), and if needed, a process for compiling/collecting the data.
- Develop and implement information sharing and gathering forums such as demographic and socio-economic trends of Alaska and promising practices for measuring performance in the public sector and data needs in the public sector.



- Develop selection process and criteria for selection of a region for a pilot demonstration project of the measures.
- Determine regional technical capability and capacity for data gathering
- Develop method(s) for assessing, and or developing and growing technical capacity within a region to track measures over time. *Data collection methods may need to be instituted in the pilot region in order to get the data needed to determine if poverty reduction goals are being met. Requires collaboration with Federal, State and Local entities*
- Develop benchmark criteria for evaluating economic and social impacts of development projects
- Implement work plan
- Ongoing communications regarding project via First Alaskans website

Phase II - July 2006 through July 2007, Piloting of measures

- Selection of one region as a pilot demonstration of the rural development performance measures.
- Implement pilot demonstration project in the selected region, to include:
 - Progress reporting process
 - Data gathering, assessment, analysis, reporting, monitoring, evaluation
 - Technical assistance and support to region selected for pilot
- Implementation of evaluation components.

Phase III - December 2006 through July 2007, Project evaluation and wrap-up

- Print and distribute final project report to pilot region, advisory project work team, and Denali Commission and First Alaskans website.

Project Deliverables:

Reports and Performance Measures

- Quarterly Progress Reports via Denali Commission online Project Data base System
- Report documenting the design and evaluation of the rural development performance measures project, also incorporating a set of recommended implementation procedures.
- Project Close-Out Report via Denali Commission online Project Data base System
- Database of performance measures (Hard copy report, CD version of report)

Project Budget:

There are four months of operations projected in 2005, 12 months in 2006, and seven months in 2007.

Expenditures:	2005	2006	2007	TOTAL
	4 months	12 months	7 months	23 months
Project Staff and Fringe	\$ 21,448	\$ 118,636	\$ 58,375	\$ 198,458
Contractual	\$ -	\$ 15,000	\$ 10,000	\$ 25,000
Other Project Expenses				
Printing	\$	\$	\$	\$



	-	2,000	2,500	4,500
Postage	\$	\$	\$	\$
	-	200	200	400
Telephone	\$	\$	\$	\$
	250	1,000	750	2,000
Travel & Related	\$	\$	\$	\$
	500	3,000	2,000	5,500
Equipment	\$	\$	\$	\$
	690	-	-	690
Supplies	\$	\$	\$	\$
	544	385	168	1,097
Dues and Subscriptions	\$	\$	\$	\$
	150	150	150	450
Indirect Costs	\$	\$	\$	\$
	<u>1,179</u>	<u>7,019</u>	<u>3,707</u>	<u>11,905</u>
Grant Total Expenditures	\$	\$	\$	\$
	24,761	147,389	77,850	250,000
First Alaskans In-Kind Contribution				
Equipment	\$	\$	\$	\$
	2,500	-	-	2,500
Indirect costs	\$	\$	\$	\$
	<u>5,556</u>	<u>33,071</u>	<u>17,468</u>	<u>56,095</u>
In-kind Contribution Total	\$	\$	\$	\$
	<u>8,056</u>	<u>33,071</u>	<u>17,468</u>	<u>58,595</u>
Total Project Costs	\$	\$	\$	\$
	32,817	180,460	95,318	308,595

Budget Narrative:

Project Staff: Salaries and fringe for direct project support from project start. For direct project management and support of total project including discussion series/knowledge development forum on trends in population, economics, education, health to help guide the eventual discussion toward the future and the concept of healthy communities; and promising practices for measuring effective programs in the public sector coupled with data needs in the public sector.

0.025 FTE Project Director & fringe

1.0 FTE Project Manager & fringe

0.8 FTE Project Assistant & fringe

	2005	2006	2007
	5 months	12 months	6 months
Total salaries & fringe	\$21,448	\$118,636	\$58,375



⋮
Contractual/Consulting: Project evaluation contract (\$25,000).
⋮

Printing: Design and printing of both hard and CD versions of process and findings reports.

Postage: Project correspondence. Disseminate/distribute reports and findings to stakeholders.

Telephone: Teleconference calls for project advisory team, and rural communities.

Travel & Related:

Travel budgeted for up to 5 trips to a region(s) in which the performance measures will be piloted; includes travel and per diem.

Equipment: Software for Project Manager and Project Assistant.

Supplies: Supplies and materials used in direct support of project.

Dues and subscriptions: Software consultation charge, dues and memberships to statistical databases and web help desks.

Indirect: 5% indirect cost recovery as approved by the Denali Commission. First Alaskans Institute provisional 2005 rate is 28.56%. The provisional rate less 5% is calculated as a cost share contribution to this project.

First Alaskans In-Kind Contribution

Budget Narrative:

Equipment: Computer, hardware and software for Project Manager and Project Assistant.

Indirect cost: 23.56% - 2005 provisional indirect cost recover rate (28.56%) less Denali Commission approved indirect (5%) on direct cost expenditures.

Economic, Education, Social, Cultural and Health Measures to consider as the Rural Development Performance Measures Project is developed linking economic growth to poverty reduction

